Indian and Northern Affairs Canada

THE LEGAL STATUS OF THE BAND AND BAND GOVERNMENT

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P. David Hunter & Associates

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THE LEGAL STATUS OF THE BAND

Introduction:

Reference may be made to the Legal Status of the Band: Discussion Papers No. 1 and 2 of May 8th, 1978 and June 8th, 1978 for a complete discussion of the issues and conclusions raised in this paper.

This paper is divided into Three Parts; first, the present law as such obtains to the Band pursuant to the Indian Act, R.S.C. 1970, c.1-6. Secondly, the inadequacies of such a legal framework pursuant to the Indian Act for the purposes of Band Government. Thirdly, the necessity to consider the future of Band Government in a corporate form pursuant to Federal legislation. In this Part of the paper, the concept of the Charter is discussed as a means whereby Band Government may be established.

Part I

The Legal Status of the Band: Present Situation and Future Considerations

Subject to the Mintuck decision (Mintuck v Valley River Band No. 63A et al (1977) 2 W.W.R., 309; and to the Mathias decision (an as of yet unreported decision of the Supreme Court of British Columbia), the Band pursuant to the Indian Act, R.S.C. c.1-6, may be ascribed the legal status of an unincorporated association for the purpose of liability in tort and contract. The significance of these decisions is that through the law of unincorporated associations the concept of a fiduciary duty as between an agent and a particular constituency is established. contrast, it can as a general proposition be stated that a corporation which would be formed for the purpose of local self-government is not a trustee or agent for the inhabitants. Therefore, a right of action by a member of a particular person in a municipality does not need to rely on a fiduciary relationship. In a broad sense a municipal government can be said to have a trust relationship; this occurs in so far as that body has the responsibility to carry out a public duty. However, in the strict legal sense, there is no fiduciary relationship between the corporation and its inhabitants. The most practical significance of the Mintuck decision is that members of the Band may be found vicariously liable for the wrong-doing of a member's agent; that is, a Band Councillor as a member of the Band who is acting on behalf of the Band. However, as a matter of general application this problem does not arise unless that member's agent was warranting on behalf of the member at the time of the wrongful act or omission.

Of some initial importance for future policy considerations is the difficulty that may emerge from Mintuck.

On one hand, as stated above, the band may be found to be an unincorporated association: liability would then attach in a situation as described as above. On the other hand, if the Band through the Band Council is to function as a local government; then it is quite possible to argue that a Band Councillor is at all times acting on behalf of the Band members.

Therefore, the concept of agency can be applied to an executive or legislative function of a Band Councillor.

Therefore, in the broadest sense, there is a legal foundation for attacking a decision of the Band govern-

ment grounded in the Law of Agency. As stated

previously, such a legal relationship between an

inhabitant, and as an example, a municipal

corporation does not exist in Canadian Municipal

Law.

Under the present Indian Act, the legal significance
of Mintuck acquires added complexity because pursuant
to that Act there are matters over which the Band
may act; and, there are matters over which the Band
Council may act.

It is arguable, that under the Indian Act, vicarious
liability will attach to members of the Band acting
in either decision-making forum; this arises because
matters may be acted upon in either forum according
to the Indian Act.

In the paper, Legal Status of the Band,

May 8th, 1978, considerable attention was given to
the tests that a Court might apply in determining
liability to a Band subject to the Law of Agency.

Specifically, reference was made to the "management"
theory; that is, the Courts may look to the question

as to whether or not management was left to the executive; the patterns of usual authority or actual authority; and, the character of a particular transaction. The issue is raised in this paper; not to anticipate litigious questions, but rather, to raise a significant policy question which will be fully discussed in Part II and Part III of this paper. That issue may be formulated as follows: if pursuant to a revised Indian Act matters could be acted upon that were an extension of the administrative capacity of the Federal Government and not a matter pursuant to the corporate character of the Band; then, the Band may be subject to the reasoning of Mintuck.

Part II

Inadequacies of Present Indian Act for the Purposes of Band Government

Introduction

Subject to the present Indian Act, neither the Band, nor the Band Council can be incorporated for the purposes of establishing a Band Government. The practical and theoretical difficulties of that legal situation have been reviewed in Part I of this paper.

Therefore, provision must be made for the Incorporation of Bands pursuant to Federal Legislation in a revised Indian Act. This subject will be reviewed in Part III of this paper.

For the moment, attention must be drawn to the present
Indian Act with respect to the inherent difficulties
posed by that legislation to facilitate Band Government.

Native of Local Government

While there remains considerable opinion as to the exact nature of local government, particularly with respect to its authority; some attempt must be made to establish a definitional understanding. Such an understanding must incorporate both legal and political issues.

In Canada, there is the generally held conventional wisdom that the Municipality is a creature of Provincial Statute. In the courts, this has been held to mean that the Provincial Legislature maintains the final authority over the Municipality. In a strict sense this is translated into the proposition that the Municipality has no inherent jurisdiction. The lack of an inherent jurisdiction means that the municipality does not possess any authority that is not derived from another authority.

Conventionally, the Municipality has dual functions; in Canada this has been traditionally defined as dual aspects. For the purpose of this paper the term function will be used in order to focus more sharply as the purpose of local government.

First, the municipal government is given powers for the benefit of the inhabitants within a geo-political area. These government functions are of a limited and prescribed jurisdiction to be employed in administering for all of the people in the community. In this sense, there is a capacity to govern as specific to a defined population.

Secondly, a municipality may have authority to act for the general public; in this sense, the municipality may be viewed as an agent, or as an instrument of the Province. However, notwithstanding the fact that the municipality may be an instrument of the Province, it has been held that the municipality is not without some independence.

Therefore, a municipal government can act in a function appropriate to the Province; and, it may act in a function appropriate to itself as a municipality.

Placed in another context, a municipal government may have functions appropriate to a private and public corporation. However, and this remains a critical problem; a matter exercised upon in its private capacity may have a significant public aspect, and a potentially great impact on an individual citizen.

The dual function of the municipality reflects in part, social necessity: notwithstanding the legal principal that the municipality is a creature of the Province; there exists the reality that certain local groups have a life of their own that is not merely delegated to them by the Province. They are capable

of directing their own concerns. Their interest in themselves is sustained and directed by their sense of responsibility. Such authority does not form from a weak delegation of authority. Conversely, demands for efficiency have created demands for standardization, regionalization and unity.

The distinction drawn between private and public functions as related to municipal government bears some analogy to the present Indian Act, and for our considerations of the future of Band Government.

First, those matters of a public nature, pursuant to the Indian Act are such matters that ought to be viewed as applying generally to all Indians pursuant to the Act, or to a revised Act.

Secondly, those matters that are private are such matters that ought to be viewed as applying specifically to a particular Band, and the Band members.

With respect to the first category, the public nature of Band Government, the critical issue is to what extent such matters that are public to all Indians and

non-Indians with respect to a geo-political unit can be transferred and what would be the significance of such a transfer.

It is suggested that matters that should fall under this heading will include the following:

- the definition of the Indian pursuant to a revised Act;
- 2) the nature of the relationship of the Federal Government to the Canadian Indians, and to the Band Government; and,
- 3) the scope and authority of the two Governments pursuant to the Charters.

In effect, there should be a statement of:

- the confirmation of the status of the Canadian Indian in Canadian Society;
- 2) the confirmation that the Canadian Indian has the right and privilege of Band Government; and
- 3) the nature of the jurisdiction of both Governments.

The principle consequence of such a declaration would be that all or some of the matters over which the Minister has exclusive jurisdiction could upon consent

be transferred to the Band or the Band Council.

As a matter of practical consequence, such matters over which the Minister has jurisdiction would have to be reviewed and their transfer to the Band be subject to negotiation.

The principles behind this position are two-fold:

first, that there should be no matter which affects
the Band Government pursuant to the <u>Indian Act</u> over
which the Minister has exclusive jurisdiction. Such
a situation would defeat the principle of the Band
as an instrumentality of the Federal Government.

The reasons are quite simple; the Band would not
administer policy over which they had no decision—
making capacity. Secondly, the retention of exclusive
jurisdiction would probably create administrative
and jurisdictional difficulties as between Band powers
and Ministerial powers.

Therefore, all matters presently held by the Minister should be placed on a consensual basis whereby:

- they may be administered with the consent of the Band by the Federal Government;
- 2) they may be administered jointly by the Band and the Federal Government; or
- 3) they may be regulated for and administered by the Band.

With respect to the second category, the private nature of Band Government, it is suggested that such matters, upon the consent of the Band be transferred to the Band authority. Such authority as vested in the Band would include the capacity:

- to pass legislation;
- to pass by-laws;
- to pass regulations; and
- to administer the same.

Such matters may include, but not be limited to the following:

- Band Health and Safety;
- Education:
- Economic Development; and
- General Maintenance and care of property.

Part III

Band Government and the Charter

As previously indicated, it is suggested that the Band be permitted to adopt a corporate character.

The following would be the basic elements of the Band Governments corporate capacity:

- A corporate name as the principal means by which identity, notwithstanding constantly changing membership, can be manifested;
- 2) A common seal by which the assent of the corporate body can be manifested, notwith-standing internal differences of opinion;
- 3) Membership as defined by those resident within the corporate limits;
- 4) Territory, the defined limits of which also confine jurisdiction;
- 5) Perpetual succession notwithstanding death of its members:
- 6) Power to acquire and hold property for authorized purposes and to alienate same in its corporate name;
- 7) Power to sue and be sued in its corporate name;
- 8) Power to contract in its corporate name;
- 9) Exemption of agents from liability when acting in conformity with the fundamental law of the corporation;
- A governing body which exercises the powers of the corporation;
- 11) Right to exercise through its council certain authority over the population of a defined area; and

12) The manner in which decisions can be made and their binding power on the members.

It should be noted that pursuant to 10 and 11, that such bodies may be the Band and/or the Band Council.

Such basic elements of the corporate capacity of the Band would be incorporated into a Charter described below. However, the elements previously described would form the basic requirements.

The Charter

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Nature and Definition of the Charter

The Charter would be understood to be the establishment of the Band Government as a corporation together
with all other laws, regulations and constitutional
provisions relating to the rights, duties, powers,
privileges, liabilities and immunities of the Band
Government as a corporate entity. As a matter of
general understanding, the Charter becomes the organic
law of the corporation establishing:

- the right to local self-government;
- the right to govern with respect to local matters; and,
- the incorporation of the residents.

Creation of the Charter

Because of the large number of Bands and the concomitant socio-economic differences that exist; it may be difficult to prescribe in legislation all of those characteristics that might constitute a Charter. Furthermore, it might be inadvisable to require an Act of Parliament for each Charter; that is, Parliament could in each circumstance pass the necessary legislation.

It is now a common practice for Provincial legislation to permit the power to incorporate to the Lieutenant-Governor in Council; the Minister of Municipal Affairs; a judicial officer; or a provincial board. Needless to say, superior legislation must exist to permit such bodies to so incorporate. Such a position is supported by case-law (Molison v Woodlands, (1915) 32 W.L.R. 25.).

Furthermore, the incorporating document, may in addition to statute take the form of an order-in-council; a proclamation; a decree or an order.

Therefore, Parliament may:

1) Pass legislation of a General Nature that permits a Charter (the incorporating document) to be established; and

2) Establish that a Charter may be utilized as the means of incorporation and that it may be established in one of several ways.

Furthermore, a Charter may be adopted, if the legislation so permitted for a particular Band to adopt a particular Charter, subject to the general provisions of the Act. Therefore, on an acceptance by the Band of a particular Charter pursuant to constitutional authorization, a Band may become incorporated.

Legislative Control over Charter

As a general rule, the Charter does not convey any inherent jurisdiction; the concomitant of this proposition is that Federal legislation may contravene any act of the Band Government. This would be particularly the case where the subject-matter under disagreement was one of a public concern as previously defined.

However, the Federal Government could, pursuant to the Legislation apply the doctrine of inherent jurisdiction and impose limitations upon itself to control the Band Government.

Amendment to Charter

It would appear to be case-law in Canada that a municipal corporation created by Charter cannot amend that Charter. Therefore, absent other provisions, a change in Legislation would be required. Therefore, provision for amendment will have to be incorporated into the Charter; such provisions would have to specify those matters that could be amended; the authority that could amend, and, the procedures that must be followed.

Repeal of Charters

In the absence of provision to the contrary, the Federal Government could repeal a Charter. The consequence would be to bring about the dissolution of the corporation. Furthermore, the legislature could provide that the court or the inhabitants could pursuant to the Act, dissolve the Charter.

Special Problems

As a matter of public policy the concept of the Charter, while providing the document that permits local self-government may not contravene Provincial legislation. By analogy, such a document could not contravene Federal Legislation. Nor could it contravene Constitutional Principles; public policy;

or the common law. Such principles, unless mentioned to the contrary, can contravene the Charter even after its enactment.

Therefore, provision must be made in the Charter that will permit for the uniqueness of the Band Government subject to the general application of Federal Legislation.

Summary and Conclusions

- 1) That the present legal status of the Band is inappropriate for the furtherance of Band Government, pursuant to the present Indian Act. Furthermore, subject to any revisions to the Indian Act, the status of the Band must be clarified.
- 2) That, the Band be established for the purposes of facilitating the principles of Band Government as a corporation. Such a corporation would be entitled:

The Band Corporation of

- 3) That, the functions of the Band Corporation be defined as having private functions and public functions.
- 4) That all matters that are private or specific to the local affairs of the Band be so designated and upon consent they may be transferred to the Band.
- 5) That pursuant to such a transfer the Band then re-negotiate the manner in which they shall be administered with the Federal Government.
- 6) That all matters that are public be specified; those matters that are public (as applying to all Indians) may upon consent be transferred to the Band with the appropriate designation of Ministerial authority.

7) That Federal Legislation be passed entitled:

The Band Government Act.

- 8) That the Act specify the authority to incorporate the Bands. Such an authority may be a Commissioner; the Minister; or an Order-in-Council.
- 9) That the uniqueness of the Band Government requires that provision be made in the Act to exclude Federal laws of general application and the common law where they are not appropriate.



The Legal Status of the Band and Band Council

The Issue

At the present time there is considerable and considered opinion that the Band and Band Council, pursuant to the Indian Act, R.S.C. 1970, c. 1-6 are not legal entities. More precisely, the Band and Band Council are not capable of being sued, or of suing in their own name; therefore, they are not legal entities. Such a position flows from the common law proposition that only corporations or natural persons can sue or be sued in their own name. Since the Band or the Band Council are neither; then, they cannot at law sue or be sued in their own name.

The recent decision of Mintuck v. Valley River Band No. 63A et al (1977) 2 W.W.R. 309, throws this position into some doubt on two levels. The first level is that in Mintuck the Court raised the possibility that a Band was a legal entity that could be sued. Although this proposition is not the decision of the Court there remains the possibility that under certain circumstances the Court might well find that a Band is a legal entity and that it can be sued. The second level is of more practical significance. The decision in Mintuck clearly defines the Band the Band Council as unincorporated associations. The significance of this is two-fold: first, that body of law which applies to unincorporated associations may be applied to Bands; and, second that where

the applicable law applies, Band members as representatives of the Band, may be held liable under a representation order of the Court.

As a result of Mintuk the issue of the status of the Band and Band Council can be re-stated. As a defined entity, the Band and Band Council have been held to be an unincorporated voluntary association. Therefore, two possibilities exist; first, that under certain circumstances the Band or Band Council may be held liable, or they may sue in their own name. Second, that representatives of the Band may be held liable. The capacity of such representatives to sue or to be sued will depend upon whether the Court grants a representation order pursuant to the Rules of Practice of the particular Province. This order, as will be discussed in the body of the discussion becomes a question of fact and law as to whether such an order will be granted.

Method

In order to fully appreciate the significance of the Mintuck decision it will be necessary to review the principles in law that were applied in that case to the facts of the case. Therefore, a brief review of the principles underlying the law related to unincorporated associations is required. Subsequent to this review, the manner in which the principles previously discussed were applied to the situation of that particular case will be reviewed. Essentially, the Court applied a body of law that

has evolved with respect to trade unions to the facts of this case and to the Valley River Band.

The principle question which arises from this decision is whether or not such an application is appropriate as a matter of policy.

Actions by and against Trade Unions

Essentially, trade unions had no existence apart from the individual members who constituted them. Therefore, they had no status before the Courts and could neither be sued nor sue in their association name. However, there have been occasions where the Courts have permitted the trade union to sue or be sued in its name. Generally, actions by or against such bodies are brought in the name of a member or members of the organization.

Representative Actions

Actions by or against trade unions may be brought under Rule
75 in Ontario. This is the Rule under which an action in Ontario
could be brought against a Band. Rule 75 states: -

Where there are numerous persons having the same interest, one or more may sue or be sued or may be authorized by the court to defend on behalf of, or for the benefit of, all.*

^{*}Representative actions are permitted in Ontario, Ontario Rules of Practice Rule 75; Alberta, Alberta Rules of Court, Alberta Regulations, Rule 42; Nova Scotia, Civil Procedure Rules, Rule 5.09 (i); Saskatchewan, Rules of the Court of Queen's Bench, Rule 45; Manitoba, Court of Queen's Bench Rules of Practice, Rule 58; British Columbia, Supreme Court Rules, Rule 5.

Notwithstanding Rule 75, trade unions in Ontario may be treated as legal entities in the following circumstances: -

- a) determinations of the Ontario Labour Relations Board;
- b) arbitration awards made pursuant to the Labour Relations
 Act: and
- c) decisions of the Jurisdictional Disputes Commission rendered pursuant to the Labour Relations Act.

There are other circumstances whereby a trade union can be treated as a legal entity pursuant to the Labour Relations Act and the Federal Industrial Relations and Disputes Investigation Act, and under the Federal Trade Unions Act.

The Application of Rule 75

There has been considerable jurisprudence on the subject of Rule 75. At this time, only the general principles related to the application of Rule 75 are presented. As a matter of practice, the language of Rule 75 has been difficult to interpret and even today the application of Rule 75 has proven difficult.

Common Interest

The cardinal rule of representative actions is that the represented persons must have "the same interest". This has generally been broken down into two sub-categories:

- i) that there be a common interest in a particular subject matter; or
- ii) that there be a common interest in a common trust.

Common Subject Matter

The persons represented must have the same interest, not merely like or similar interest in the subject matter of the suit.

This subject matter must be in the nature of a general right and not a right that is personal to individuals in the class.

Definition of Common Subject Matter

The Courts have in the past few years given a broad definition to the words "the same interest". The principle case is Orchard v. Tunney (1957) 2 D.L.R. 47. In that case a trade union was prevented from expelling a member. The member had been expelled unlawfully; the Court found that he had been damaged and that his remedy lay in a representative action against the Executive of the Leadership. In Orchard, the common interest was the membership of the expelled member in the trade union. In subsequent cases the representative action has been permitted because of the general rights of the union member in relationship to the union. As a matter of general application, the Courts have looked to general principles; that is, they have looked to the general rights of the party, such rights have then permitted the Courts to allow the representative action. It will be noted in the subsequent

review of Mintuck, that the Court quoted and approved of Orchard.

Furthermore, the Court applied by way of general application

the reasoning of Orchard to the Band.

Similar Rights Against a Common Fund

In Ontario it is necessary for a party bringing application to the Court under Rule 75 to file by way of Affidavit information concerning the presence of a trust fund. Furthermore, information by Affidavit must be filed indicating that such funds could satisfy the party litigants claim.

In the situation where the application for an Order under Rule 75 is brought against an association other than a union, the first branch of the principle (common interest) is sufficient. However, where an application is brought subject to the second branch (common fund) these so bringing the action must show a common interest in such a fund. But, the resulting fund will be distributed only to those parties who can show a particular claim. In such a situation, the representative action gets one inside the door; after that each party must show an individual claim.

Parties

As a general rule, where an unincorporated association is being sued (other than a trade union), the plaintiff in the action

can bring the action against the Executive Committee of such an association. As well, a party can bring an action against those parties who assume to act for the association. Other than in those instances where there may be a master and servant relationship, the previous two points with respect to whom an action may be brought against apply in contract and in tort.

Specific mention must be made of actions in tort. It had been generally held that actions in tort did not lie in a representative action. The reason for this was that a plaintiff may have an independent cause of action arising from similar circumstances against each member of the class and unless the defendants to such an action where appropriate were to adopt a position similar to each other, then no action under a representative action would lie. However, the Taff Vale Railway Company v. Amalgamated Society of Railway Servants (1901) A.C. 434, decision of the Privy Council established that an action in tort would lie.

In <u>Mintuck</u>, the Manitoba Court of Appeal quoted and approved of the <u>Taff Vale</u> case. The significance of that judgement will be reviewed at a later point in this discussion.

The Mintuck Decision

The Plaintiff, Mintuck, had leased land on the Valley River Band Reserve from Her Majesty and with the approval of the Band.

Subsequent to the leasing of the property, the Band elected new

officers to the Band Council. The Band Council passed a resolution revoking the Lease. Furthermore, a series of acts occurred which prevented Mintuck from engaging in farming. The Court held, inter alia, that those members of the Band Council so named in the action were liable to Mintuck because the Band Council in passing the resolution to cancel the Lease were endorsing the harassment of Mintuck. The Court held that the proper course of action was a representative Order pursuant to Manitoba Queen's Bench Rule 58. This Rule is identical to Rule 75, Rules of Practice, Province of Ontario.

The three Justices of the Court of Appeal each wrote a decision. For the purposes of this paper, the decisions of Guy, J.A., and Matas, J.A. are of significance.

Guy, J.A. dealt briefly with the issue of the legal nature of the Band. In his Judgment, reference is made to <u>International</u>

<u>Brotherhood of Teamsters v. Therien</u> (1960) S.C.R. 265, and stated that the Band may well be a suable entity. In this instance, the Court has opened the door to the future argument that the Band is a legal entity with the capacity to sue and to be sued in its own name. In effect, by applying <u>Therien</u> the Court has simply reiterated certain principles with respect to trade unions; that is, there may be certain circumstances under which a Band may be found liable.

"In short, I agree with the conclusion reached by the learned trial judge that the Council of the Valley River Band represented the Band and that the Band is capable of suing and being sued through councillors or agents."

The process of arriving at this decision is important for our purposes and some attention to detail will be given.

First, Guy, J.A. inquired into the nature of the Band and Band Council responsibility with respect to the duty of the Band to the Plaintiff. (In effect, Guy, J.A. adopted the process of reasoning of other Courts with respect to the liability of executives to their trade unions by first establishing the relationship of members of a trade union to the trade union).

Once it was established that there was a duty owned by the Band to the Plaintiff, it was then necessary to inquire into the nature of that duty. No positive duty is established in the decision; but, there is a duty not to harass the plaintiff. This arises because of the nature of the Lease which must permit peaceful use of the property. Second, once a duty to act in a certain manner has been found the next issue is to attach liability. In this case, the Band Council (or designated members) were liable because of their official approval to cancel the Lease. This was interpreted by the Court to be formal approval by the Band Council to the intimidation of the plaintiff.

The decision of Matas, J.A. essentially confirms the decision of Guy, J.A. However, there are some additional points that are raised. Such points both clarify and cloud the issues.

First, Matas, J.A. establishes that the plaintiff need only show that there is a "common interest" in order to obtain a representation order. Therefore, the applicable law, with respect to the application of a representation order to the Band is the test of the unincorporated association. Furthermore, he deals at some length with whether or not such an order can be made with respect to an action in tort. He so decides that such an order is applicable. In this instance, the Court draws upon principles of administrative law; that is, the necessity of statutory bodies to act fairly.

Second, Mata, J.A., establishes that on the facts the Band Council entered into the dispute between Mintuck and Band members in an official capacity. By so entering into the dispute, the Band Council adopted the tortious actions of the Band members. If, the Band Council had not entered into the dispute, the Band Council had not entered into the dispute in its official capacity then the Band could not be found liable. In effect, the Court is saying, that when the Band Council adopts a formal position to a dispute as between a Band member and other members of the Band, then Band members may be found liable through the executive action of the Band Council.

Conclusions

1. The Mintuck decision serves as a model of how the Courts have and probably will reason through problems related to the legal status of the Band and Band Council.

- 2. The principle affect of the approach is to deem the Band Council with an executive function. This function is one that is imposed onto the Band. It is noted that within the Act the Band and the Band Council have functions that are separately defined.
- 3. A specific legal result of this approach is found in the Mintuck decision; that is, tortious acts of the Band Council can create liability in Band members. With respect to Mintuck this finding is based upon specific facts and any extension of the principle in Mintuck will have to await further judicial decision-making.
- 4. However, Mintuck reflects a changing attitude towards the legal status of the Band and Band Council. While the Court did not totally accept the proposition that the Band is a legal entity with the capacity to sue and to be sued; the Court did define the legal status of the Band as an unincorporated association. The consequences of that decision have already been reviewed. The specific change is that the Courts are establishing the basis of liability of Bands. That is, the Coursts are simply saying that where you have a right or responsibility you will also have an obligation or liability.

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